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NEIFELD IP LAW, P.C.
4813-B Eisenhower Avenue
Alexandria, Virginia 22304

Tel: 703-415-0012
Fax: 703-415-0013
Email: rneifeld@Neifeld.com
Web: www.Neifeld.com

OIPE JC139
JUL 12 2005
PATENT & TRADEMARK OFFICE

# TRANSMITTAL LETTER AND AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT

ASSISTANT COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313

RE: Attorney Docket No.: PIP114MANSPCTUS
Application No.: 10/501,141
Filed: 7/13/2004
Inventor: Mansfield
Group Art Unit: 3622
Examiner: Unknown
Title: Product recall using customer prior shopping history data

SIR:

Attached hereto for filing are the following papers:
37 CFR 1.97 Information Disclosure Statement (in duplicate)
37 CFR 1.98(A)(1) Reference Citation Listing References P-001 - P-003; O-001
Copy of Reference O-001

Our check in the amount of -0- is attached covering the required fees.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 50-2106. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

7/8/05
DATE

Richard A. Neifeld, Ph.D.
Registration No. 35,299
Attorney of Record

Printed: April 11, 2005 (2:55pm)
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OIPE JC139
JUL 1 2 2005
PATENT & TRADEMARK OFFICE

Neifeld Ref: PIP114MANSPCTUS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Mansfield
INT APP. NO: 10/501,141
FILED: July 13, 2004
FOR: Product recall using customer prior shopping history data

GAU:3622
EXAMINER: Unknown
PTO CONFIRMATION NO. 5336

# 37 CFR 1.97 INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

[X] The applicant(s) wish to make of record the references listed on the attached PTO-1449. Copies of the listed references are being submitted herewith or, were submitted in the parent or grandparent application, where required, as were either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references, or cited by the examiner.

[ ] A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

[ ] Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.

[ ] A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

[ ] Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

[ ] No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**PETITION**

[ ] Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(p).

**DEPOSIT ACCOUNT**

[■] Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 50-2106. A duplicate copy of this sheet is enclosed.

31518
PATENT TRADEMARK OFFICE

7/8/05
Date

Respectfully submitted,

Richard A. Neifeld
Registration No. 35,299

OIPE JC139
JUL 1 2 2005
PATENT & TRADEMARK OFFICE

37 CFR 1.98(A)(1) Reference Citation

Printed: ~~May 14~~, 2003 (3:24pm)
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| NEIFELD IP LAW REFERENCE FORM LIST OF REFERENCES CITED BY THE APPLICANT (Rev: 5/14/2003) | NEIFELD REF: PIP114MANSPCTUS | APPLICATION NO: 10/501,141 |
|---|---|---|
| | FIRST NAMED INVENTOR: Mansfield | |
| | FILING DATE: 7/13/2004 | GROUP ART UNIT: 3622 |

## LISTING OF UNITED STATES PATENTS - U series

| EXAMINER INITIALS | REFERENCE NUMBER (U SERIES) | PATENT NUMBER | ISSUE DATE | NAME OF PATENTEE OR APPLICANT | PAGE/LINE AND FIGURE/ELEMENT OF RELEVANT MATERIAL AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED |
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## LISTING OF UNITED STATES PUBLISHED APPLICATIONS - P Series

| EXAMINER INITIALS | REFERENCE NUMBER (P SERIES) | PUBLICATION NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | PAGE/LINE AND FIGURE/ELEMENT OF RELEVANT MATERIAL AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE CITED |
|---|---|---|---|---|---|
| | P-001 | US2001056359 | 12/27/2001 | Abreu | abstract, summary |
| | P-002 | US2001/005398 | 12/20/2001 | Suliman Jr. et al. | paragraphs 12-13 |
| | P-003 | US2002/0040325 | 4/4/2002 | Takae et al. | paragraph 192 |
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Date: Examiner's Signature:

LISTING OF **FOREIGN AND INTERNATIONAL PATENT DOCUMENTS - F Series**

| EXAMINER INITIALS | REFERENCE NUMBER (F SERIES) | PUBLICATION NUMBER | PUBLICATION DATE | COUNTRY OR REGION | PAGE/LINE AND FIGURE/ELEMENT OF RELEVANT MATERIAL | ENGLISH LANGUAGE TRANSLATION ATTACHED? (YES OR NO) AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED |
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## LISTING OF NON PATENT LITERATURE - O Series

| EXAMINER INITIALS | REFERENCE NUMBER (L Series) | PUBLICATION DATE | INCLUDE IN SEQUENCE: Name of first author (in CAPITAL LETTERS), Title in quotation marks, name of publication, date or publication, page numbers, publisher, city of publication, and country of publication | ENGLISH LANGUAGE TRANSLATION ATTACHED? (YES OR NO) AND/OR OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED |
|---|---|---|---|---|
| | O-001 | 11/29/2002 | PCT International Search Report PCT/US02/08236 | |
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